



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/470,566
Filing Date	12/22/99
First Named Inventor	kendyl A Roman
Group Art Unit	2613
Examiner Name	Behzooz Sefi
Attorney Docket Number	

RECEIVED

JAN 28 2003

Technology Center 2600

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)
<input checked="" type="checkbox"/> Fee Attached <i>Check # for \$180.00</i>	<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	
	Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	<i>Kendyl A Roman</i>	
Signature		
Date	Jan 21, 2003	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	<i>Kendyl A Roman</i>	
Signature		Date <input type="text" value="Jan 21, 2003"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

2613 \$



# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	09/470,566
Filing Date	12/22/99
First Named Inventor	KENDYL A. LAMAR
Examiner Name	Rehman, Sani
Art Unit	2613
Attorney Docket No.	

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account Number:   
Deposit Account Name:

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375			Utility filing fee	<input type="text"/>
1002 330	2002 165			Design filing fee	<input type="text"/>
1003 520	2003 260			Plant filing fee	<input type="text"/>
1004 750	2004 375			Reissue filing fee	<input type="text"/>
1005 160	2005 80			Provisional filing fee	<input type="text"/>
SUBTOTAL (1) (\$)					

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		<input type="text"/>	-20** = <input type="text"/> X <input type="text"/> = <input type="text"/>	<input type="text"/>
Independent Claims		<input type="text"/>	-3** = <input type="text"/> X <input type="text"/> = <input type="text"/>	<input type="text"/>
Multiple Dependent				<input type="text"/>

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

\*\*or number previously paid, if greater. For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	<input type="text"/>
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
1053 130	1053 130			Non-English specification	<input type="text"/>
1812 2,520	1812 2,520			For filing a request for ex parte reexamination	<input type="text"/>
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	<input type="text"/>
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	<input type="text"/>
1251 110	2251 55			Extension for reply within first month	<input type="text"/>
1252 410	2252 205			Extension for reply within second month	<input type="text"/>
1253 930	2253 465			Extension for reply within third month	<input type="text"/>
1254 1,450	2254 725			Extension for reply within fourth month	<input type="text"/>
1255 1,970	2255 985			Extension for reply within fifth month	<input type="text"/>
1401 320	2401 160			Notice of Appeal	<input type="text"/>
1402 320	2402 160			Filing a brief in support of an appeal	<input type="text"/>
1403 280	2403 140			Request for oral hearing	<input type="text"/>
1451 1,510	1451 1,510			Petition to institute a public use proceeding	<input type="text"/>
1452 110	2452 55			Petition to revive - unavoidable	<input type="text"/>
1453 1,300	2453 650			Petition to revive - unintentional	<input type="text"/>
1501 1,300	2501 650			Utility issue fee (or reissue)	<input type="text"/>
1502 470	2502 235			Design issue fee	<input type="text"/>
1503 630	2503 315			Plant issue fee	<input type="text"/>
1460 130	1460 130			Petitions to the Commissioner	<input type="text"/>
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	<input type="text"/>
1806 180	1806 180			Submission of Information Disclosure Stmt	<input type="text"/>
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	<input type="text"/>
1809 750	2809 375			Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
1810 750	2810 375			For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
1801 750	2801 375			Request for Continued Examination (RCE)	<input type="text"/>
1802 900	1802 900			Request for expedited examination of a design application	<input type="text"/>

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

## SUBMITTED BY

Name (Print/Type)	KENDYL A. LAMAR	Registration No. (Attorney/Agent)	Telephone 408 739-9517
Signature			
	Date Jan 21, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

RECEIVED

JAN 23 2003  
Technology Center 2600



#10  
1-29-03  
P.2

Application # 09/470,566

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Kendyl A Roman et al. Art Unit: 2613

Appl. No.: 09/470,566 Examiner: Behzooz Senfi

Filed: 12/22/99

Title: General Purpose Compression For Video Images (RHN)

**RECEIVED**

JAN 28 2003

Commissioner for Patents  
Washington, D. C. 20231

Technology Center 2600

**CONTENT OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER § 1.98 (a) (3) (i)**

Sir:

The following references listed below, and on attached form 1449A/PTO, are patents of which he is aware pursuant to his duty of disclosure in accordance with 37 CFR § 1.56.

US 4,410,916	Pratt et al.	10/18/1983
US 6,181,711 B1	Zhang et al	01/30/2001
US 6,335,990 B1	Chen et al.	01/01/2002
US 5,999,655	Kalker et al.	12/07/1999
US 4,646,356	Anderson et al.	02/24/1987
US 4,301,469	Modeen et al	11/17/1981
US 4,704,628	Chen et al.	11/03/1987
US 4,698,672	Chen et al.	10/06/1987
US 4,394,774	Widergren et al.	07/19/1983
US 4,385,363	Widergren et al.	05/24/1983
US 4,302,775	Widergren et al.	11/24/1981

The following reference listed below, and on attached form 1449A/PTO, was cited by Grunwald, which was cited by the PTO regarding the patent application, Serial Number 09/433,937 filed 04 November 1999.

US 5,471,989      Roundhill et al.      12/05/1995

Pursuant to 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. Furthermore, pursuant to 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability, as defined in 1.56(b). The filing of this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

A handwritten signature in black ink, appearing to read "Roundhill", is positioned below the typed statement.